

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10530283
Filing Date	2005-04-04
First Named Inventor	Toshiaki SASAKI, et al.
Art Unit	1753
Examiner Name	Barton, Jeffrey Thomas
Attorney Docket Number	81845.0019

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6777706	B1	2004-08-17	Nir Tessler, et al.	Corresponds to PCT published application number WO00/04593

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	1	0004593	WO		2000-01-27	Cambridge Display Technology Ltd.	Corresponds to US patent application number 6777706 and JP application number 2002-520683	<input type="checkbox"/>
	2	2002520683	JP	A	2002-07-09	Cambridge Display Technology Ltd.	Corresponds to PCT published number WO00/04593	<input checked="" type="checkbox"/>

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EFS-Web Receipt date: 10/18/2007

Application Number	10530283	10530283 - GAU: 4132
Filing Date	2005-04-04	
First Named Inventor	Toshiaki SASAKI, et al.	
Art Unit	1753	
Examiner Name	Barton, Jeffrey Thomas	
Attorney Docket Number	81845.0019	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	Japanese language office action and its English translation for corresponding Japanese application number 2003-367536 lists of references above.	<input checked="" type="checkbox"/>

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**EXAMINER SIGNATURE**

Examiner Signature	/Miriam Berdichevsky/	Date Considered	04/02/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Toshiaki SASAKI et al.

Serial No: Not assigned

Filed: April 4, 2005

For: Stacked-Layer Type Photoelectric  
Conversion Device

Art Unit: Not assigned

Examiner: Not assigned

**TRANSMITTAL OF  
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sirs:

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in

PATENT  
81845.0019

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§1.56(c) more than three months prior to the filing of the statement. 37 C.F.R.  
§1.97(e)(2).

**OR FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

**§1.97(e) STATEMENT**

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

**OR**

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

**AND FEE**

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. A copy of this petition is enclosed.
5. ☒ A list of 15 reference(s) is in the enclosed Form PTO-1449.

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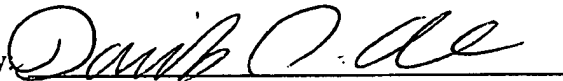
**NON-ENGLISH LANGUAGE REFERENCES**

- ☒ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☒ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references: N/A

Respectfully submitted,  
HOGAN & HARTSON L.L.P.

Date: April 4, 2005

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By:   
Dariush G. Adli  
Registration No. 51,386  
Attorney for Applicant(s)

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FORM PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number (Official)  
81845.0019Application Number  
Not assigned

10/530283

Applicant

Toshiaki SASAKI et al.

Filing Date

April 4, 2005

Group Art Unit

Not assigned

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,776,894	10/11/88	Watanabe et al.			
	6,384,319	05/07/02	Sasaki et al.			
	5,403,404	04/04/95	Arya et al.			
	4,377,723	03/22/83	Dalal			
	US 2002/0066478 A1	06/06/02	Hayashi et al.			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	2002-261308	09/13/02	JP			Abstract	
	05-095126	04/16/93	JP			Abstract	
	63-120476	05/24/88	JP			Abstract	
	2000-269528	09/29/00	JP			Abstract	
	2003-142709	05/16/03	JP			Abstract	
	2003-124481	04/25/03	JP			Abstract	
	2000-138384	05/16/00	JP			Abstract	
	2002-261305	09/13/02	JP			Abstract	
	2002-261308	09/13/02	JP			Abstract	
	2003-258297	09/12/03	JP			Abstract	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER /Miriam Berdichevsky/

DATE CONSIDERED

04/02/2008

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